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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/823,105	04/13/2004	Shunsuke Kobayashi	CU-3682 RJS

CONFIRMATION NO. 4514

## FORMALITIES LETTER



\*OC000000013061187\*

Richard J. Streit  
 Ladas & Parry  
 Suite 1200  
 224 South Michigan Avenue  
 Chicago, IL 60604

Date Mailed: 06/24/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/29/2004 GWORDF1 00000026 10823105

FILED UNDER 37 CFR 1.53(b)

01 FC:1051	130.00 OP
02 FC:1053	130.00 OP
03 FC:1202	72.00 OP
04 FC:1203	300.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 4.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$260** for a Large Entity

- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

T. TU  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 25, 2004.

Brian W. Hameder  
Name

*Brian W. Hameder*  
Signature

DOCKET: CU-3682

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANT: Shunsuke KOBAYASHI et al )  
SERIAL NO: 10/823,105 ) Group Art Unit:  
FILING DATE: April 13, 2004 ) Examiner:  
TITLE: LIQUID CRYSTAL-SOLUBLE PARTICLE, )  
METHOD FOR MANUFACTURING THE SAME )  
AND LIQUID CRYSTAL DEVICE ELEMENT )

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Dear Sir:

This is in response to the notice dated June 24, 2004 to file missing parts of application, a copy of which is attached.

Simultaneously herewith, applicant is filing a request for a two month extension, effectively extending the term for response from August 24, 2004 up to October 24, 2004.

Applicant submits herewith the following materials:

1. Verified English translation of the application as filed, including specification, claims, abstract and drawings
2. Combined Declaration & Power of Attorney
3. Corrected Application Data Sheet in which the address of the first inventor, as well as the title, has been corrected

Also enclosed is check in the amount of \$632 to cover the following government fees:

\$130	Late Combined Declaration & Power of Attorney surcharge
\$130	English translation surcharge
\$72	Extra claims over twenty (4)
\$300	Multiple dependent claim fee

Should any additional fee be deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 12-0400.

Respectfully submitted,

October 25, 2004

Date

/54



Attorney for Applicant

Brian W. Hameder, Reg. 45613  
c/o Ladas & Parry LLP  
224 South Michigan Avenue  
Chicago, Illinois 60604  
(312) 427-1300



I, the undersigned named translator, hereby state:

My name and post office address are as stated below;

That I am knowledgeable in the English language and in the language of the

☐ attached document

☒ below identified document

and I believe the attached English translation to be a true and complete translation of this document.

*(identify attached or previously filed document)*

The document for which the attached English translation is being submitted is

LIQUID CRYSTAL-SOLUBLE PARTICLE, METHOD FOR MANUFACTURING THE SAME  
AND LIQUID CRYSTAL DEVICE ELEMENT

*(check and complete, if applicable)*

☒ This foreign language document was filed in the PTO on April 13, 2004.

Date: October 22, 2004

Full name of the translator Akihiko YAMASHITA

Signature of the translator

*A. Yamashita*

Post Office Address c/o TOKYO CENTRAL PATENT FIRM, 4th Floor, Oak Building  
Kyobashi, 16-10, Kyobashi 1-chome, Chuou-ku, Tokyo, 104-0031, Japan